RW Pressprich Co - Held NMS Stocks and Options Order Routing Public Report Generated on Tue Jan 07 2025 17:18:16 GMT-0500 (Eastern Standard Time)

4th Quarter, 2024

October 2024

S&P 500 Stocks

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non- Directed Orders | Non-Marketable Limit Orders as % of Non- Directed Orders | Other Orders as % of Non-Directed Orders |
|---|--|--|--|---|
| 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |

Venues

| | | Venue - Non- directed Order Flow | Non- Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non- Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/ Received for Market Orders(cents per hundred shares) | Net Payment Paid/ Received for Marketable Limit Orders(USD) | Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/ Received for Non- Marketable Limit Orders(USD) | Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/ Received for Other Orders(cents per hundred shares) | |
|--|--|--|--------------------------------|-------------------------|-----------------------------------|---|------------------------|---|---|--|--|---|---|--|--|--|
|--|--|--|--------------------------------|-------------------------|-----------------------------------|---|------------------------|---|---|--|--|---|---|--|--|--|

Material Aspects:

October 2024

Non-S&P 500 Stocks

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non- Directed Orders | Non-Marketable Limit Orders as % of Non- Directed Orders | Other Orders as % of Non-Directed Orders | | |
|---|--|--|--|---|--|--|
| 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | |

Venues

| Venue - Non- directed Order Flow | Non- Directed Orders Orders (%) (%) | Marketable Limit Orders (%) | imit Orders M | Non- Marketable Ord Limit Orders (%) | | Net Payment Paid/ Received for Market Orders(cents per hundred shares) | Net Payment Paid/ Received for Marketable Limit Orders(USD) | Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/ Received for Non- Marketable Limit Orders(USD) | Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/ Received for Other Orders(cents per hundred shares) |
|--|---|-----------------------------------|---------------|--|--|---|--|--|---|---|--|--|
|--|---|-----------------------------------|---------------|--|--|---|--|--|---|---|--|--|

Material Aspects:

October 2024

Options Summary

| | Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non- Directed Orders | Non-Marketable Limit Orders as % of Non- Directed Orders | Other Orders as % of Non-Directed Orders |
|---|---|--|--|--|---|
| Ì | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |

Venues

| Venue - Non- directed Order Flow | Non- Directed Orders (%) Market Orders (%) | Marketable Limit Orders (%) | Limit Orders | Non- Marketable Limit Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/ Received for Market Orders(cents per hundred shares) | Net Payment Paid/ Received for Marketable Limit Orders(USD) | Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/ Received for Non- Marketable Limit Orders(USD) | Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/ Received for Other Orders(cents per hundred shares) |
|--|--|-----------------------------------|--------------|---|--|---|--|--|---|---|--|--|
|--|--|-----------------------------------|--------------|---|--|---|--|--|---|---|--|--|

Material Aspects:

November 2024

S&P 500 Stocks

Summary

| | Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non- Directed Orders | Non-Marketable Limit Orders as % of Non- Directed Orders | Other Orders as % of Non-Directed Orders |
|---|--|--|--|--|---|
| Ì | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |

Venues

Material Aspects:

November 2024

Non-S&P 500 Stocks Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non- Directed Orders | Non-Marketable Limit Orders as % of Non- Directed Orders | Other Orders as % of Non-Directed Orders | |
|---|--|--|--|---|--|
| 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | |

Venues

| Venue - Non- directed Order Flow | Non- Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non- Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/ r Received for Market Orders(cents per hundred shares) | Net Payment Paid/ Received for Marketable Limit Orders(USD) | Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/ Received for Non- Marketable Limit Orders(USD) | Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/ Received for Other Orders(cents per hundred shares) |
|--|--------------------------------|----------------------------|-----------------------------------|--|------------------------|---|---|--|--|---|---|--|--|
| /laterial A | spects: | | | | | | | | | | | | |
| Jovem | ber 20 | 21 | | | | | | | | | | | |
| | | | | | | | | | | | | | |
| ptions ummary | | | | | | | | | | | | | |
| Non-Directors & Son Al | | Market Orde Non-Directe | | Marketable Limit Orders as % of Non- Directed Orders | Orders | | Other Orders as % of Non-Directed Orders | | | | | | |
| | 0.00 | | 0.00 | 0.00 | | 0.00 | 0.00 | | | | | | |
| enues | | | | | | | | | | | | | |
| Venue - Non- directed Order Flow | Non- Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non- Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/ r Received for Market Orders(cents per hundred shares) | Net Payment Paid/ Received for Marketable Limit Orders(USD) | Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/ Received for Non- Marketable Limit Orders(USD) | Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/ Received for Other Orders(cents per hundred shares) |
| 1aterial A | spects: | | | | | | | | | | | | |
| | | | | | | | | | | | | | |
|)ecem | ber 20 | 24 | | | | | | | | | | | |
| * |) Stocks | | | | | | | | | | | | |
| ummary | SIUCKS | | | | | | | | | | | | |
| Non-Director as % of Al | | Market Orde Non-Directe | | Marketable Limit Orders as % of Non- Directed Orders | Orders | | Other Orders as % of Non-Directed Orders | | | | | | |
| | 0.00 | | 0.00 | 0.00 | | 0.00 | 0.00 | | | | | | |
| enues | | | | | | | | | | | | | |
| Venue - | Non- | Market | Marketable | Non- | Other | Net Payment | Net Payment Paid/ | Net Payment Paid/ | Net Payment Paid/ | Net Payment Paid/ | Net Payment Paid/ | Net Payment | Net Payment Paid/ |

| Venue - | Non- | Market | Marketable | Non- | Other | Net Payment | Net Payment Paid/ | Net Payment Paid/ | Net Payment Paid/ | Net Payment Paid/ | Net Payment Paid/ | Net Payment | Net Payment Paid/ |
|---------------------------|----------|--------|--------------|---------------------|--------|-----------------------|-------------------------------------|---------------------------------|---|---------------------------------|---|----------------------|-------------------------------------|
| Non- | Directed | Orders | Limit Orders | Marketable | Orders | Paid/Received for | Received for Market | Received for | Received for | Received for Non- | Received for Non- | Paid/Received for | Received for Other |
| directed Order Flow | | (%) | (%) | Limit Orders (%) | (%) | Market Orders(USD) | Orders(cents per hundred shares) | Marketable Limit Orders(USD) | Marketable Limit Orders(cents per hundred shares) | Marketable Limit Orders(USD) | Marketable Limit Orders(cents per hundred shares) | Other Orders(USD) | Orders(cents per hundred shares) |

Material Aspects:

December 2024

Non-S&P 500 Stocks Summary

| Non-Directed Orders as % of All Orders | % of All Orders Non-Directed Orders | | Non-Marketable Limit Orders as % of Non- Directed Orders | Other Orders as % of Non-Directed Orders |
|---|-------------------------------------|------|--|---|
| 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |

Venues

| Venue - Non- directed Order Flow | Non- Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non- Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/ Received for Market Orders(cents per hundred shares) | Net Payment Paid/ Received for Marketable Limit Orders(USD) | Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/ Received for Non- Marketable Limit Orders(USD) | Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/ Received for Other Orders(cents per hundred shares) |
|--|--------------------------------|-------------------------|-----------------------------------|---|------------------------|---|---|--|--|---|---|--|--|
|--|--------------------------------|-------------------------|-----------------------------------|---|------------------------|---|---|--|--|---|---|--|--|

Material Aspects:

December 2024

Options Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non- Directed Orders | Non-Marketable Limit Orders as % of Non- Directed Orders | Other Orders as % of Non-Directed Orders | | |
|---|--|--|--|---|--|--|
| 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | |

Venues

| Venue - Non- directed Order Flow | Directed Orders | Marketable Limit Orders (%) | | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/ Received for Market Orders(cents per hundred shares) | Net Payment Paid/ Received for Marketable Limit Orders(USD) | Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/ Received for Non- Marketable Limit Orders(USD) | Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/ Received for Other Orders(cents per hundred shares) | |
|--|-----------------|-----------------------------------|--|------------------------|---|---|--|--|---|---|--|--|--|
|--|-----------------|-----------------------------------|--|------------------------|---|---|--|--|---|---|--|--|--|

Material Aspects: